

## COMPLIANCE REPORT ON CORPORATE GOVERNANCE

### ANNEXURE- I

1	Name of Listed Entity								<b>U. Y. Fincorp Limited</b>				
2	Quarter ending								<b>30<sup>th</sup> June, 2024</b>				
<b>I. COMPOSITION OF BOARD OF DIRECTORS:-</b>													
Title (Mr./ Ms)	Name of the Director	PAN <sup>s</sup> & DIN	Category (Chairperson/ Executive/ Non- Executive/ independent / Nominee) <sup>&amp;</sup>	Initial Date of Appointment	Date of Re- appointment	Date of Cessation	Tenure (in months)	Date of Birth	No. of Directors hip in listed entities including this listed entity [in reference to Regulation 17A(1)]	No. of Independ ent Direct orshi p in listed entitie s includ ing this listed entity [in refere nce to Regulatio n 17A(1 )]	No. of membershi ps in Audit/ Stakeholde r Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulation s)	No. of post of Chairpers on in Audit/ Stakehold er Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulation s)	
Mr.	Udai Kothari	AQMPK0691F (DIN:-00284256)	Chairman & Managing Director	21.09.2017	02.05.2022	-	-	24.09.1987	1	-	1	-	
Mr.	Deepak Kothari	ABBPk9382M (DIN:-00280323)	Non- Executive, Non- Independent	21.09.2017	02.05.2022	-	-	16.06.1963	1	-	-	-	
Mr.	Dinesh Burman	ADTPB8735H (DIN:-00612904)	Executive Director	30.07.2010	30.05.2021	-	-	12.03.1957	1	-	1	-	
Mr.	Govind Kumar Goyal	AESPg3693R (DIN: 02466348)	Non- Executive Independent Director	24.07.2015	24.07.2020	-	107.07	23.09.1979	1	1	1	-	
Mr.	Nishant Sharadrao Nanda	APNPP5183R (DIN:-08196581)	Non- Executive Independent Director	10.08.2018	10.08.2023	-	70.21	18.09.1984	1	1	-	-	
Mr.	Jignesh Dalal	ADUPD2097H (DIN: 08065507)	Non- Executive Independent Director	15.02.2018	15.02.2023	-	76.16	08.04.1972	1	1	2	2	
Mrs.	Leena Hinesh Jobanputra	AHCPJ1237H (DIN:-06975039)	Non- Executive Independent Director	12.11.2014	12.11.2019	-	115.19	08.12.1973	1	1	2	-	
Whether Regular chairperson appointed										Yes			
Whether Chairperson is related to managing director or CEO										Yes			
<sup>s</sup> PAN number of any director would not be displayed on the website of Stock Exchange <sup>&amp;</sup> Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen <sup>*</sup> to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.													

**II. COMPOSITION OF COMMITTEES:-**

Name of Committee	Whether Regular Chairperson appointed	Name of Committee members	Category (Chairperson / Executive / Non-Executive / Independent / Nominee) <sup>5</sup>	Date of Appointment	Date of Cessation
Audit Committee	Yes	Jignesh Dalal	Chairperson-Non Executive-Independent Director	15-02-2018	-
		Leena Hinesh Jobanputra	Member-Non Executive-Independent Director	12-11-2014	-
		Govind Kumar Goyal	Member-Non Executive-Independent Director	11-08-2018	-
Nomination and Remuneration Committee	Yes	Jignesh Dalal	Chairperson-Non Executive-Independent Director	15-02-2018	-
		Leena Hinesh Jobanputra	Member-Non Executive-Independent Director	12-11-2014	-
		Govind Kumar Goyal	Member-Non Executive-Independent Director	11-08-2018	-
Stakeholders Relationship Committee	Yes	Jignesh Dalal	Chairperson-Non Executive-Independent Director	11-08-2018	-
		Leena Hinesh Jobanputra	Member-Non Executive-Independent Director	12-11-2017	-
		Udai Kothari	Member-Executive-Non Independent Director	14-11-2017	-
		Dinesh Burman	Member-Executive-Whole time Director	23-04-2014	-
Risk Management Committee	N.A.				

<sup>5</sup> Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

**III. MEETINGS OF BOARD OF DIRECTORS:-**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of Independent Directors present*	Maximum gap between any two consecutive (in number of days)
07.02.2024	-	Yes	7	4	-
13.03.2024	-	Yes	7	4	34
-	03.05.2024	Yes	7	4	50
-	15.05.2024	Yes	7	4	11

\*to be filled in only for the current quarter.

**18IV. MEETINGS OF COMMITTEES:-AUDIT COMMITTEE**

Date(s) of Meeting of the committee ) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present*	Number of Independent Directors present*	Date(s) of Meeting of the committee in the previous quarter	Maximum gap between any two consecutive (in number of days)
15.05.2024	Yes	3	3	07.02.2024	97

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional.

\*\*to be filled in only for the current quarter.

<b>V. RELATED PARTY TRANSACTIONS:-</b>	
<b>Subject</b>	<b>Compliance status (Yes/No/NA)<sup>refer note below</sup></b>
Whether prior approval of audit committee obtained	N.A.
Whether shareholder approval obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes
<b>Note</b>	
<p>1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</p> <p>2. If status is "No" details of non-compliance may be given here.</p>	
<b>VI. AFFIRMATIONS:-</b>	
<p>1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015</p> <ol style="list-style-type: none"> <li>a. Audit Committee</li> <li>b. Nomination &amp; remuneration committee</li> <li>c. Stakeholders relationship committee</li> <li>d. Risk management committee (applicable to the top 100 listed entities) –<b>Not Applicable</b></li> </ol> <p>3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here.- <b>This will be place at the ensuing Board Meeting. The Corporate Governance Report for the quarter ended 31<sup>st</sup> March, 2024 was placed at the meeting of Board of Directors of the Company held on 15<sup>th</sup> May, 2024.</b></p>	
<b>For U. Y. Fincorp Limited</b>	
<b>Udai Kothari</b>	
<b>(Chairman &amp; Managing Director)</b>	
<b>(DIN:- 00284256)</b>	